

1 TO THE HOUSE OF REPRESENTATIVES:

2 The Committee on Corrections and Institutions to which was referred  
3 Senate Bill No. 61 entitled “An act relating to offenders with mental illness”  
4 respectfully reports that it has considered the same and recommends that the  
5 House propose to the Senate that the bill be amended by striking out all after  
6 the enacting clause and inserting in lieu thereof the following:

7 Sec. 1. 13 V.S.A. § 4820(5) is added to read:

8 (5) When a person who is found to be incompetent to stand trial  
9 pursuant to subdivision (2) of this section, the court shall appoint counsel from  
10 Vermont Legal Aid to represent the person who is the subject of the  
11 proceedings and from the Office of the Attorney General to represent the State  
12 in the proceedings.

13 Sec. 2. 13 V.S.A. § 4821 is amended to read:

14 § 4821. NOTICE OF HEARING; PROCEDURES

15 The person who is the subject of the proceedings, his or her attorney, the  
16 legal guardian, if any, the Commissioner of Mental Health or the  
17 Commissioner of Disabilities, Aging, and Independent Living, and the State's  
18 ~~Attorney or other prosecuting officer representing~~ counsel appointed pursuant  
19 to subsection 4820(5) of this title to represent the State in the case, shall be  
20 given notice of the time and place of a hearing under section 4820 of this title.  
21 Procedures for hearings for persons with a mental illness shall be as provided

1 in 18 V.S.A. chapter 181. Procedures for hearings for persons with an  
2 intellectual disability shall be as provided in 18 V.S.A. chapter 206, subchapter  
3 3.

4 Sec. 3. 28 V.S.A. § 3 is amended to read:

5 § 3. GENERAL DEFINITIONS

6 As used in this title:

7 \* \* \*

8 (12) Despite other names this concept has been given in the past or may  
9 be given in the future, “segregation” means a form of separation from the  
10 general population that may or may not include placement in a single-  
11 occupancy cell and that is used for disciplinary, administrative, or other  
12 reasons, but shall not mean confinement to an infirmary or a residential  
13 treatment setting for purposes of evaluation, treatment, or provision of  
14 services.

15 Sec. 4. 28 V.S.A. § 701a(b) is amended to read:

16 ~~(b) For purposes of this title, and despite other names this concept has been~~  
17 ~~given in the past or may be given in the future, “segregation” means a form of~~  
18 ~~separation from the general population which may or may not include~~  
19 ~~placement in a single occupancy cell and which is used for disciplinary,~~  
20 ~~administrative, or other reasons~~ As used in this section, “segregation” shall  
21 have the same meaning as in subdivision 3(12) of this title.

1 Sec. 5. 28 V.S.A. § 907 is amended to read:

2 § 907. MENTAL HEALTH SERVICE FOR INMATES; POWERS AND  
3 RESPONSIBILITIES OF COMMISSIONER

4 The Commissioner shall administer a program of trauma-informed mental  
5 health services ~~which~~ that shall be available to all inmates and shall provide  
6 adequate staff to support the program. The program shall provide the  
7 following services:

8 (1)~~(A)~~ Within 24 hours of admittance to a correctional facility, all  
9 inmates shall be screened for any signs of mental illness, ~~mental condition or~~,  
10 psychiatric disability or disorder, or serious functional impairment. If as a  
11 result of the screening it is determined that the inmate is receiving services  
12 under the developmental disabilities home and ~~community-based~~ community-  
13 based services waiver or is currently receiving community rehabilitation and  
14 treatment services, he or she will automatically be designated as having a  
15 serious functional impairment.

16 (B) Every inmate who is identified as a result of screening by a mental  
17 health professional as requiring inpatient evaluation, treatment, or services  
18 shall, within 24 hours of the screening, be referred for such treatment,  
19 evaluation, or services in a setting appropriate to the clinical needs of the  
20 inmate.

21 \* \* \*

1 Sec. 6. 28 V.S.A. § 907 is amended to read:

2 § 907. MENTAL HEALTH SERVICE FOR INMATES; POWERS AND  
3 RESPONSIBILITIES OF COMMISSIONER

4 \* \* \*

5 (1)(A) Within 24 hours of admittance to a correctional facility, all  
6 inmates shall be screened for any signs of mental illness, mental condition or,  
7 psychiatric disability or disorder, or serious functional impairment. If as a  
8 result of the screening it is determined that the inmate is receiving services  
9 under the developmental disabilities home and community-based services  
10 waiver or is currently receiving community rehabilitation and treatment  
11 services, he or she will automatically be designated as having a serious  
12 functional impairment.

13 (B) Every inmate who is identified as a result of screening by a mental  
14 health professional as requiring inpatient evaluation, treatment, or services  
15 shall, within ~~24~~ 48 hours of the screening, be ~~referred for~~ provided with such  
16 treatment, evaluation, or services in a setting appropriate to the clinical needs  
17 of the inmate.

18 \* \* \*

19 Sec. 7. AGENCY OF HUMAN SERVICES; OFFICE OF THE ATTORNEY  
20 GENERAL; REPORT TO STANDING COMMITTEES

21 On or before January 18, 2018:

1           (1) the Secretary of Human Services shall report to the House and  
2           Senate Committees on Judiciary, the House Committee on Corrections and  
3           Institutions, the Senate Committee on Health and Welfare, and the House  
4           Committee on Health Care on how best to provide mental health treatment and  
5           services to offenders in the custody of the Department of Corrections,  
6           including recommendations on whether those services should be provided by a  
7           classified State employee working within the Agency of Human Services, by  
8           designated agencies, or by other professionals contracted for professional  
9           mental health care services within the Department; and

10           (2) the Secretary of Human Services, in consultation with the Attorney  
11           General, shall report to the House and Senate Committees on Judiciary and the  
12           House and Senate Committees on Appropriations on the resources necessary to  
13           comply with the requirements set forth in 13 V.S.A. § 4820(5). The  
14           Committees on Appropriations shall consider the report during their FY 2019  
15           budget deliberations in determining the appropriate funding for the State to  
16           meet the requirements of 13 V.S.A. § 4820(c).

17           Sec. 8. LEGISLATIVE INTENT; DEPARTMENT OF CORRECTIONS;

18                           USE OF SEGREGATION

19           It is the intent of the General Assembly that the Department of Corrections  
20           continue to house inmates in the least restrictive setting necessary to ensure  
21           their own safety as well as the safety of staff and other inmates, and to use

1 segregation only in instances when it serves a specific disciplinary or  
2 administrative purpose, pursuant to 28 V.S.A. § 3, and to ensure that inmates  
3 designated as seriously functionally impaired or inmates with a serious mental  
4 illness receive the support and rehabilitative services they need.

5 Sec. 9. DEPARTMENT OF CORRECTIONS; DEPARTMENT OF  
6 MENTAL HEALTH; FORENSIC MENTAL HEALTH CENTER;  
7 MEMORANDUM OF UNDERSTANDING FOR MENTAL  
8 HEALTH SERVICES; REPORTS

9 (a)(1) On or before July 1, 2017, the Department of Corrections shall,  
10 jointly with the Department of Mental Health, execute a memorandum of  
11 understanding regarding mental health services for inmates prior to the  
12 establishment of a forensic mental health center as required by subdivision (c)  
13 of this section. The memorandum of understanding shall:

14 (A) establish that when an inmate is identified by the Department of  
15 Corrections as requiring a level of care that cannot be adequately provided by  
16 the Department of Corrections, then the Department of Mental Health and the  
17 Department of Corrections will work together to determine how to augment the  
18 inmate's existing treatment plan until the augmented treatment plan is no  
19 longer clinically necessary; and

20 (B) formally outline the role of the Department of Mental Health  
21 Care Management Team in facilitating the clinical placement of inmates

1 coming into the custody of the Commissioner of Mental Health pursuant to  
2 Title 13 or Title 18 and inmates voluntarily seeking hospitalization who meet  
3 inpatient criteria.

4 (2) On or before July 1, 2017, the Departments shall jointly report on the  
5 memorandum of understanding to the Joint Legislative Justice Oversight  
6 Committee.

7 (b) On or before January 18, 2018, the Department of Corrections shall, in  
8 consultation with the Department of Mental Health and the designated  
9 agencies, and in accordance with the principles set forth in 18 V.S.A. § 7251,  
10 develop a plan to create or establish access to a forensic mental health center  
11 pursuant to subsection (c) of this section. On or before January 18, 2018, the  
12 Departments shall jointly report on the plan to the House and Senate  
13 Committees on Judiciary, the House Committee on Corrections and  
14 Institutions, the House Committee on Health Care, and the Senate Committee  
15 on Health and Welfare.

16 (c) On or before July 1, 2019, pursuant to the plan set forth in subsection  
17 (b) of this section, a forensic mental health center shall be available to provide  
18 comprehensive assessment, evaluation, and treatment for detainees and  
19 inmates with mental illness, while preventing inappropriate segregation.

1 Sec. 10. 2016 Acts and Resolves No. 137, Sec. 7 is amended to read:

2 Sec. 7. EFFECTIVE DATE; TRANSITION PROVISION

3 (a) This act shall take effect on passage.

4 (b) Except as provided in subsection (c) of this section, the Commissioner  
5 of Corrections may only release or permit inspection of offender or inmate  
6 records in reliance upon an exception to the confidentiality of offender and  
7 inmate records if the exception is created by law, including an exception  
8 created by rule adopted in accordance with the Administrative Procedure Act  
9 under the mandate in Sec. 5, 28 V.S.A. § 107(b)(5).

10 (c) The Department of Corrections may rely upon exceptions to the  
11 confidentiality of offender and inmate files under directives adopted by the  
12 Department prior to the effective date of this act until the Commissioner adopts  
13 rules pursuant to the rulemaking mandates of Sec. 5, 28 V.S.A. § 107(a) and  
14 (b)(5). On or before September 1, 2016, the Commissioner shall prefile rules  
15 with the Interagency Committee on Administrative Rules in accordance with  
16 these mandates. The Commissioner shall update the Joint Legislative Justice  
17 Oversight Committee on the status of its efforts to adopt the rules at the  
18 Oversight Committee's first meeting on or after September 1, 2016.

19 (d)(1) On August 30, 2016, to implement the rulemaking requirements of  
20 28 V.S.A. § 107, the Commissioner prefiled a proposed rule entitled  
21 “inmate/offender records and access to information” with the Interagency



1 Committee on Administrative Rules. The Commissioner filed the proposed  
2 rule, as corrected, with the Secretary of State on October 13, 2016 and the final  
3 proposed rule, as revised, with the Legislative Committee on Administrative  
4 Rules (LCAR) on January 31, 2017. After reviewing and receiving testimony  
5 on the final proposed rule, as revised, the House Committee on Corrections  
6 and Institutions found that it was not consistent with legislative intent because  
7 the rule would potentially cause significant costs and disruptions to the  
8 Department.

9 (2) The Commissioner shall:

10 (A) withdraw the proposed final rule filed with LCAR on January 31,  
11 2017; and

12 (B) redraft the proposed rule so that it reflects legislative intent as  
13 described in subsection (e) of this section.

14 (3) The Department of Corrections may continue to rely upon  
15 exceptions to the confidentiality of offender and inmate files under directives  
16 adopted by the Department prior to May 26, 2016 until the Commissioner  
17 adopts final rules as required under 28 V.S.A. § 107.

18 (e) The General Assembly intends that, in either of the following situations,  
19 28 V.S.A. § 107 shall be interpreted not to require the Department to provide  
20 an inmate or offender a copy of records:

1           (1) Previously provided by the Department to the inmate or offender, if  
2           the inmate or offender has custody of or the right to access the copy.

3           (2) If the inmate or offender is responsible for the loss or destruction of  
4           a previously provided copy. In the case of such loss or destruction, the inmate  
5           or offender may—subject to the limitations of 28 V.S.A. § 107—be entitled to  
6           a replacement copy, but the Department may charge him or her for the  
7           replacement copy in accordance with law.

8           (f) On or before October 1, 2017, the Commissioner shall:

9           (1) develop a plan to implement and use modern records management  
10           technology and practices in order to minimize the costs of reviewing,  
11           redacting, and furnishing such records in accordance with law; and

12           (2) send to the members of the House Committee on Corrections and  
13           Institutions and of the Senate Committee on Institutions a copy of the plan  
14           required under subdivision (1) of this subsection, and a written report that:

15           (A) summarizes the status of the Department’s efforts to redraft the  
16           rules as required under subsection (d) of this section; and

17           (B) outlines the implementation steps, expected benefits and costs to  
18           the State of Vermont, and time line associated with transitioning to digital  
19           delivery of inmate and offender records.

20           (g) On or before January 15, 2018, the Commissioner shall submit a copy  
21           of the redrafted rules to the House Committee on Corrections and Institutions

1 and to the Senate Committee on Institutions. On or before July 1, 2018, the  
2 Commissioner shall prefile the redrafted rules, as may be revised, with the  
3 Interagency Committee on Administrative Rules.

4 Sec. 11. SUBSTANCE ABUSE RECOVERY SERVICES AT  
5 CORRECTIONAL FACILITIES; STUDY

6 (a) The Commissioner of Corrections, in consultation with the Division of  
7 Alcohol and Drug Abuse and the Judiciary, shall study approaches to  
8 substance abuse recovery services in State and out-of-state correctional  
9 facilities for inmates who are in need of substance abuse recovery in order to  
10 provide a holistic approach to their recovery. The study shall include:

11 (1) a review of recovery regimens for inmates, including:

12 (A) screening by a medical and mental health professional upon  
13 initial entry into a correctional facility;

14 (B) continuing preexisting prescriptions and medication treatments  
15 during an inmate's incarceration;

16 (C) providing supportive and treatment-enhancing activities  
17 throughout the inmate's incarceration, including recovery coaching, certified  
18 drug and alcohol counselors, and technology-enabled substance abuse recovery  
19 programs; and

20 (D) developing relationships with community providers once an  
21 inmate approaches release;

1           (2) ways to link recovery programs with increased secondary and  
2           postsecondary educational opportunities and job skills and training  
3           opportunities;

4           (3) opportunities to develop and use self-help peer groups to assist in  
5           recovery and in maintaining abstinence;

6           (4) opportunities for mandatory and voluntary services;

7           (5) the estimated number of inmates impacted and costs associated with  
8           providing recovery services; and

9           (6) any operational challenges associated with providing recovery  
10           services.

11           (b) On or before December 1, 2017, the Commissioner of Corrections shall  
12           submit a report to the House Committees on Corrections and Institutions, on  
13           Human Services, and on Judiciary and the Senate Committees on Institutions,  
14           on Health and Welfare, and on Judiciary on the findings of the study described  
15           in subsection (a) of this section. The report shall include recommendations for  
16           legislative action to implement new recovery services based on the findings of  
17           the study.

18           Sec. 12. EFFECTIVE DATES

19           (a) This section, Sec. 9 (Department of Corrections; Department of Mental  
20           Health; forensic mental health center; memorandum of understanding for

1 provision of mental health services; report to standing committees), and Sec.  
2 10 (2016 Acts and Resolves No. 137, Sec. 7 ) shall take effect on passage.

3 (b) Secs. 3 (general definitions), 4 (28 V.S.A. § 701a(b)), 5 (mental health  
4 service for inmates; powers and responsibilities of commissioner), 7 (Agency  
5 of Human Services; Office of the Attorney General report to standing  
6 committees), 8 (legislative intent, Department of Corrections; use of  
7 segregation), and 11 (substance abuse recovery services at correctional  
8 facilities; study) shall take effect on July 1, 2017.

9 (c) Sec. 6 (mental health service for inmates; powers and responsibilities of  
10 Commissioner) shall take effect on July 1, 2019.

11 (d) Secs. 1 (hearing regarding commitment) and 2 (notice of hearing;  
12 procedures) shall take effect on July 1, 2018.

13 and that after passage the title of the bill be amended to read: “An act  
14 relating to offenders with mental illness, inmate records, and inmate services”

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16

17 (Committee vote: \_\_\_\_\_)

18

\_\_\_\_\_

19

Representative \_\_\_\_\_

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FOR THE COMMITTEE